



**SELF-CERTIFICATION OF COMPLIANCE  
FOR CONDITIONAL STEVEDORE LICENSE**

In compliance with Section 3.2(b) of the Rules and Regulations of the Waterfront Commission of New York Harbor, the undersigned officers of \_\_\_\_\_ (the “Company”), hereby certify that the Company, with respect to its operations located at \_\_\_\_\_:

1. Maintains books and records containing a true and accurate account of the receipts and records arising out of the Company’s activities within the Port of New York district; \_\_\_\_\_  
*Initial* *Initial*
  
2. Selects and hires employees in a fair and non-discriminatory manner in accordance with the requirements of applicable state and federal equal employment opportunity laws; \_\_\_\_\_  
*Initial* *Initial*
  
3. Pays such employees in accordance with applicable state and/or federal minimum wage requirements and/or applicable collective bargaining agreement(s); \_\_\_\_\_  
*Initial* *Initial*
  
4. Has not been issued any citation for willful violation(s) of federal or state laws or regulations relating to the health, safety or welfare of its employees at such other waterfront terminal within the preceding five (5) years; \_\_\_\_\_  
*Initial* *Initial*
  
5. Has not hired any individual who is not required to fulfill the duties for which he or she is to be compensated (i.e., no-show or low-show employment); \_\_\_\_\_  
*Initial* *Initial*
  
6. Does not have, as an officer, director, member, manager, partner, principal stockholder, or person with direct operational managerial authority, any individual convicted of a felony or criminal offense punishable by over one year of imprisonment within the preceding five (5) years; \_\_\_\_\_  
*Initial* *Initial*
  
7. Has not, to the best of our knowledge, hired any person who has been convicted of a racketeering activity within the preceding five (5) years, or any person who has been identified by a federal, state or local law enforcement agency as a member or an associate of organized crime group, a terrorist group, or a career offender cartel (as defined by §5-h(6) and §5-i(6) of the Act); \_\_\_\_\_  
*Initial* *Initial*
  
8. Has not, to the best of our knowledge, hired any person whose application for registration or licensure has been denied, or whose registration or license has been revoked or suspended, by the Waterfront Commission of New York Harbor. \_\_\_\_\_  
*Initial* *Initial*

Signed: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_

Signed: \_\_\_\_\_  
Name: \_\_\_\_\_  
Title: \_\_\_\_\_  
Date: \_\_\_\_\_